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US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2001_0600ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.
(Of International Patent)
NEW 097856531International Application No.
PCT/JP99/06413International Filing Date
November 17, 1999Priority Date Claimed
November 24, 1998**Title of Invention**

PLATE HEAT EXCHANGER AND METHOD OF MAKING SAME

Applicant(s) For DO/EO/US

Satoshi MATSUMOTO; Takeshi WATANABE; and Yoshitsugu NISHIYAMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. -Form PCT/IB/308 -Attachment "A"
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). -Attachment "B"
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. -Attachment "D"
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment. -Attachment "E"
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ Other items or information:

Unexecuted Declaration and Power of Attorney w/along cover letter - Attachment "C"

Form PCT/IB/304 - Attachment "F"

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|---|--------------|---|--|-------------------------------------|----|
| U.S. APPLICATION NO. 09/856531 NEW | | INTERNATIONAL APPLICATION NO. PCT/JP99/06413 | | ATTORNEY'S DOCKET NO. 2001_0600A | |
| 15. <input checked="" type="checkbox"/> The following fees are submitted | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International Search Report has been prepared by the EPO or JPO \$ 860.00 International preliminary examination fee not paid to USPTO but international search paid to USPTO \$ 710.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$860.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 7 -20 = | -0- | X \$18.00 | \$ | |
| Independent Claims | 2 - 3 = | -0- | X \$80.00 | \$ | |
| Multiple dependent claim(s) (if applicable) | | | + \$270.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$860.00 | |
| <input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2. | | | | \$ | |
| SUBTOTAL = | | | | \$860.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + \$ | |
| TOTAL NATIONAL FEE = | | | | \$860.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$860.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | Amount to be charged | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of <u>\$860.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> . | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| 19. CORRESPONDENCE ADDRESS <div style="text-align: center;">  000513 PATENT TRADEMARK OFFICE </div> | | | By: <u>Michael S. Huppert</u> Michael S. Huppert, Registration No. 40,268 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250 May 23, 2001 | | |

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[2001_0600A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
 Satoshi MATSUMOTO et al. : Attn: BOX PCT
 Serial No. NEW : Docket No. 2001_0600A
 Filed May 23, 2001 :
 PLATE HEAT EXCHANGER AND METHOD
 OF MAKING SAME
 [Corresponding to PCT/JP99/06413
 Filed November 17, 1999]

THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEE FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975.

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents,
 Washington, DC 20231

Sir:

Prior to initial examination of the above-identified new PCT application, kindly amend the application as follows:

IN THE CLAIMS:

Kindly cancel claims 1-13 without prejudice or disclaimer thereof, and kindly substitute therefor the following new claims:

14.(NEW) A plate heat exchanger comprising a pair of end plates, a plurality of first passageway plates each having a first passageway defined therein, a plurality of second passageway plates each having a second passageway defined therein, and a plurality of partition plates,

turning portions, and at least one of said first and second passageways has substantially a same width in a direction of length thereof.

18.(NEW) The plate heat exchanger according to claim 14, wherein said first and second passageways have generally U-shaped turning portions, and each of said first and second passageway plates has a through-hole defined therein between adjoining fluid paths of each of said first and second passageways, and wherein said through-holes of said first and second passageway plates communicate with one another.

19.(NEW) The plate heat exchanger according to claim 15, wherein said first and second passageways have generally U-shaped turning portions, and each of said first and second passageway plates has a through-hole defined therein between adjoining fluid paths of each of said first and second passageways, and wherein said through-holes of said first and second passageway plates communicate with one another.

20.(NEW) A method of making a plate heat exchanger having a plurality of plates sandwiched between a pair of end plates, the plurality of plates having two passageways defined therein that are

not in fluid communication with each other, said method comprising the steps of:

shaping the plurality of plates by pressing;

coating with paste solder those surfaces of the plurality of plates that are positioned on an upstream side thereof in a punching direction during pressing;

piling up the plurality of plates so that the punching directions thereof during pressing coincide; and

heating the plurality of plates under a condition in which the plurality of plates are held in close contact with one another.

REMARKS

The above amendments are presented to incorporate the Article 34 amendments and to remove the multiple dependencies of the original claims.

Please enter the above amendments prior to consideration of the merits of the present application.

Respectfully submitted,

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DESCRIPTION

Plate Heat Exchanger and Method of Making Same

5 Technical Field

The present invention relates to plate heat exchangers employing, as heat exchange fluids, a liquid and a two-phase fluid undergoing a phase change in vapor and liquid phases to exchange heat between them.

10 Background Art

The plate heat exchangers generally include a stack of metal plates having separated passageways defined therein through which heat exchange fluids flow to exchange heat therebetween. The plate heat exchangers have a large surface area per volume and can be made compact. Because they can be made with a lesser amount of material, they gradually surpass tube and shell heat exchangers in use. In ordinary plate heat exchangers, outer peripheral portions of the plates or header holes are sealed with gaskets, and the plates are mechanically fixed. Although they can be taken apart and cleaned, they have the disadvantage of being limited in the range of temperature or pressure of the fluids to be used.

- 20 Japanese Laid-Open Patent Publication No. 63-137793 discloses an improved plate heat exchanger that can overcome the above-described problem inherent in the ordinary plate heat exchangers. This heat exchanger includes metal plates piled up one upon the other, in which fluid passageways are formed by punching and each of them is defined within the thickness of a metal plate. This heat
- 25 exchanger has the same advantages as those of the ordinary plate heat exchangers, and because the metal plates having the fluid passageways are completely secured together, the heat exchanger does not impose a large limitation in the range of temperature or pressure of the fluids to be used.

Fig. 8 depicts such plate heat exchanger, a portion of which is taken apart for ease of understanding. As shown therein, the plate heat exchanger includes a plurality of passageway plates 81 each having passageways 86 defined therein as penetrations, and a plurality of passageway plates 82 each similarly having passageways 87 defined therein as penetrations, all of which are piled up alternately with a partition plate 83 interposed between adjacent passageway plates 81, 82. A stack of these plates 81, 82, 83 is sandwiched between a pair of end plates 84, 85.

Each passageway plate 81 has through-holes 92a, 92b defined therein in addition to the passageways 86, while each passageway plate 82 similarly has through-holes 95a, 95b defined therein in addition to the passageways 87. Each partition plate 83 has through-holes 93a, 93b, 94a, 94b defined therein. The end plate 84 has inlet and outlet pipes 88, 89 for a heat exchange fluid A, and inlet and outlet pipes 90, 91 for another heat exchange fluid B, all of which are secured thereto.

The passageways 86 in each passageway plate 81 and the passageways 87 in the adjacent passageway plate 82 are separated by a partition plate 83 and cross at right angles.

The heat exchange fluid A enters the heat exchanger through the inlet pipe 88 secured to the end plate 84, passes through the through-holes 94a, 95a, and enters the passageways 86 formed in the passageway plates 81. The heat exchange fluid A that has passed through the passageways 86 is discharged from the heat exchanger via the through-holes 95b, 94b and then via the outlet pipe 89. On the other hand, the heat exchange fluid B enters the heat exchanger through the inlet pipe 90 secured to the end plate 84, passes through the through-holes 92a, 93a, and enters the passageways 87 formed in the passageway plates 82. The heat exchange fluid B that has passed through the passageways 87 is discharged from the heat exchanger via the through-holes 93b, 92b and via the outlet pipe 91. At this moment, the heat exchange fluid A flowing through the passageways 86 exchanges heat, through two partition plates 83 disposed above and below it, with the heat

exchange fluid B flowing through the passageways 87.

The conventional plate heat exchanger of the above-described construction has the following drawbacks.

Because the heat exchange fluids A, B form cross- or rectangular-
current flows that are in heat exchange relationship and because the cross- or
rectangular-current flows are inferior in heat transfer efficiency to countercurrent flows,
the conventional plate heat exchanger referred to above requires a heat transfer area
greater than that required by a heat exchanger of the countercurrent flow type to
obtain a predetermined heat transfer capacity, resulting in an increase in size of the
heat exchanger. In order to enhance the heat transfer ability on the side of the heat
exchange fluid A in the heat exchanger, if the heat transfer area is increased by
elongating the passageways 86, it becomes necessary for the passageways 87
adjoining them via the partition plates 83 to be increased in number or in width. In
either case, the total sectional area of the passageways 87 increases, and the speed
of the heat exchange fluid B decreases, resulting in a reduction in the heat transfer
ability of the heat exchange fluid B.

Diffused junction, bonding, brazing or the like is preferably employed to
join the plates together in the plate heat exchanger.

In the diffused junction, a stack of plates is pressurized under vacuum
and heated to a temperature slightly less than the melting point of the material of the
plates. Because the plates are joined together by virtue of diffusion of the material in
the vicinity of the mating surfaces of the plates, a considerably large load is required
for the application of pressure during joining, thus requiring relatively large pressure
equipment. Accordingly, it is difficult to mass-produce the plate heat exchangers at a
low cost.

Bonding is generally carried out by first coating the bonding surfaces of
the plates with, for example, an epoxy-based bonding agent, and by subsequently
conducting heat curing treatment on the plates that have been piled up one upon the

other. Because the joining by bonding is poor in pressure resistance or heat resistance of the bonded portions, the use pressure or temperature of the heat exchangers is considerably limited.

On the other hand, brazing is generally carried out by first coating the bonding surfaces of the plates with a solder or brazing material having a melting point lower than that of the plates, and by subsequently heating the plates, which have been piled up one upon the other, to a temperature greater than the melting point of the solder. The melted solder is diffused into the plates to join them.

In view of the manufacturing equipment or pressure resistance of the heat exchangers, brazing is generally employed in joining the plates. However, if the degree of contact between the neighboring plates during brazing is bad, a gap or gaps are created in the brazed portions of the plates, thus causing leakage of the heat exchange fluids. By way of example, passageways or through-holes are formed in the passageway plates or the partition plates by pressing or punching and, hence, burrs are formed on the processed portions of the plates in the direction of pressing or punching. When the plates are piled up, contact of such burrs considerably deteriorates the degree of contact between the neighboring plates, resulting in poor brazing.

The present invention has been developed to overcome the above-described disadvantages.

It is accordingly an objective of the present invention to provide a small-sized inexpensive plate heat exchanger having an enhanced performance and a method of making the same, in which two fluids that are in heat exchange relationship flow in opposite directions.

Another objective of the present invention is to provide a plate heat exchanger having enhanced reliability and a method of making the same, in which the mechanical strength required for a pressure vessel is increased or the plates are positively secured together.

Disclosure of the Invention

In accomplishing the above and other objectives, the plate heat exchanger of the present invention includes a pair of end plates extending parallel to each other, and a plurality of plates sandwiched between the pair of end plates and
5 having two passageways defined therein that are not in fluid communication with each other, wherein two fluids flow through the two passageways in a countercurrent fashion.

Because the countercurrent flows are superior in heat transfer efficiency,
10 it is possible to enhance the performance and reduce the size of the plate heat exchangers.

The plurality of plates may include a plurality of first passageway plates each having a first passageway defined therein, a plurality of second passageway plates each having a second passageway defined therein, and a plurality of partition
15 plates. The plurality of first passageway plates and the plurality of second passageway plates are piled up alternately with one of the plurality of partition plates interposed between neighboring first and second passageway plates. The first and second passageways are aligned with each other, and first and second fluids flow through the first and second passageways, respectively, in the countercurrent fashion.

In the above-described construction, if the partition plates are thicker
20 than the first or second passageway plates, the mechanical strength required for a pressure vessel is increased, thus enhancing the reliability of the plate heat exchangers.

Furthermore, if the first and second passageway plates have an identical shape, the same plates can be commonly used therefor. Accordingly, the
25 plate structure is extremely simplified, making it possible to further reduce the manufacturing cost of the plate heat exchangers.

Alternatively, each of the plurality of plates may be a passageway plate having first and second passageways defined therein that adjoin and extend parallel

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to each other, wherein first and second fluids flow through the first and second passageways, respectively, in the countercurrent fashion.

By this construction, because the first and second fluids exchange heat in the countercurrent fashion and because the plate structure is simplified, it is possible to enhance the performance of the plate heat exchangers and reduce the manufacturing cost and size of the plate heat exchangers.

If the plurality of plates are shaped by pressing and piled up so that punching directions thereof during pressing coincide, contact of burrs that have been created on the plates by pressing is avoided. As a result, the degree of contact between the plates is enhanced, thus increasing the yield during manufacture of the plate heat exchangers.

A partition may be provided in at least one of the first and second passageways to divide it into two in a widthwise direction thereof. This construction reduces the width and sectional area of the passageway and increases the speed of the fluid that flows therethrough, thus enhancing the heat transfer efficiency. Also, the provision of the partition increases the mechanical strength required for the heat exchangers as pressure vessels and, hence, the performance and reliability of the plate heat exchangers are further enhanced.

Conveniently, the first and second passageways have generally U-shaped turning portions. By this construction, even if the passageways are extremely long, the length or width of the heat exchangers can be considerably reduced, resulting in compact plate heat exchangers.

If at least one of the first and second passageways has substantially the same width in the direction of length thereof, the fluid flows smoothly therethrough. Accordingly, deterioration in heat transfer efficiency that has been hitherto caused by a stay of fluid is prevented, thus further enhancing the performance of the plate heat exchangers.

Each of the plurality of passageway plates may have a through-hole

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defined therein between adjoining fluid paths of each of the first and second passageways. In this case, the through-holes of the plurality of passageway plates communicate with one another. By this construction, because heat transfer between the same fluid in the adjoining fluid paths is completely blocked, the performance of the plate heat exchangers is further enhanced.

If the plurality of passageway plates are made of resinous material, the weight of the plate heat exchangers is reduced. In this case, if the partition plates that provide heat transfer surfaces are formed of metallic material or resinous material such as graphite having a high heat transfer rate, the performance of the heat exchangers is not reduced.

In another aspect of the present invention, a method of making a plate heat exchanger is characterized by shaping the plurality of plates by pressing, performing plating on opposite surfaces of at least some of the plurality of plates, piling up the plurality of plates so that punching directions thereof during pressing coincide, and heating the plurality of plates under the condition in which the plurality of plates are held in close contact with one another.

According to this method, when the plates are piled up, contact of burrs formed thereon during pressing is avoided and, hence, the degree of contact between the plates is enhanced. Accordingly, the plates are positively joined together by plating and subsequent brazing, making it possible to enhance the yield and provide reliable plate heat exchangers.

Alternatively, the step of performing plating may be replaced by the step of coating with paste solder those surfaces of the plurality of plates that are positioned on an upstream side thereof in a punching direction during pressing. The use of the paste solder that is cheaper than plating reduces the manufacturing cost of the plate heat exchangers. Also, because the solder is coated on the upstream side surfaces of the plates with respect to the punching direction during pressing, i.e., on the surfaces of the plates on which no burrs project, jigs or tools such as masks to be

used during coating are not appreciably damaged by the burrs, thus enhancing the reliability of the plate heat exchangers.

Brief Description of the Drawings

Fig. 1 is an exploded perspective view of a plate heat exchanger according to a first embodiment of the present invention.

Fig. 2 is a top plan view of a passageway plate mounted in the plate heat exchanger of Fig. 1.

Fig. 3 is an exploded perspective view of a plate heat exchanger according to a second embodiment of the present invention.

Fig. 4 is an exploded perspective view of a plate heat exchanger according to a third embodiment of the present invention.

Fig. 5 is an exploded perspective view of a plate heat exchanger according to a fourth embodiment of the present invention.

Fig. 6 is a sectional view taken along line VI-VI in Fig. 1, depicting a method of making a plate heat exchanger.

Fig. 7 is a sectional view taken along line VI-VI in Fig. 1, depicting another method of making a plate heat exchanger.

Fig. 8 is an exploded perspective view of a conventional plate heat exchanger.

Detailed Description of the Preferred Embodiments

Preferred embodiments of the present invention are described hereinafter with reference to the drawings.

(Embodiment 1)

Fig. 1 depicts a plate heat exchanger according to a first embodiment of the present invention, with a portion thereof taken apart for ease of understanding of the interior structure thereof.

This plate heat exchanger includes a plurality of plates sandwiched between a pair of end plates extending parallel to each other, with a plurality of separate passageways defined in some of the plates. The plurality of passageways are not in fluid communication with each other and are defined in different plates.

- 5 The directions of flow of fluids in the plurality of passageways are essentially opposite to each other.

More specifically, as shown in Fig. 1, a plurality of passageway plates 1 each having a passageway 6 defined therein as a penetration for the passage of a heat exchange fluid A and a plurality of passageway plates 2 each having a passageway 7 defined therein as a penetration for the passage of a heat exchange fluid B are piled up alternately and sandwiched between a pair of end plates 4, 5, with a partition plate 3 interposed between adjacent passageway plates 1, 2. The passageways 6, 7 are aligned with each other with a partition plate 3 interposed therebetween. The directions of flow of the heat exchange fluid A in the passageways 6 and the heat exchange fluid B in the passageways 7 are countercurrent with respect to each other.

Each passageway plate 1 has through-holes 12a, 12b defined therein in addition to the passageway 6, while each passageway plate 2 similarly has through-holes 15a, 15b defined therein in addition to the passageway 7. Each partition plate 3 has through-holes 13a, 13b, 14a, 14b defined therein. When the passageway plates 1, 2 are piled up with a partition plate 3 interposed therebetween, an inlet header or space 16 for the heat exchange fluid A is formed by a portion of each passageway 6 and the through-holes 14a, 15a. An outlet header 17 for the heat exchange fluid A, an inlet header 18 for the heat exchange fluid B, and an outlet header 19 for the heat exchange fluid B are similarly formed.

The end plate 4 has inlet and outlet pipes 8, 9 for the heat exchange fluid A, and inlet and outlet pipes 10, 11 for the heat exchange fluid B, all of which are secured thereto. The inlet and outlet pipes 8, 9 are in fluid communication with the

inlet and outlet headers 16, 17 for the heat exchange fluid A, respectively. Similarly, the inlet and outlet pipes 10, 11 are in fluid communication with the inlet and outlet headers 18, 19 for the heat exchange fluid B, respectively.

As shown by a solid arrow in the figure, the heat exchange fluid A enters the inlet header 16 through the inlet pipe 8 secured to the end plate 4, and then enters the passageways 6 formed in the passageway plates 1. The heat exchange fluid A that has passed through the passageways 6 is collected in the outlet header 17 and is discharged outside through the outlet pipe 9. On the other hand, as shown by a dotted arrow in the figure, the heat exchange fluid B enters the inlet header 18 through the inlet pipe 10 secured to the end plate 4, and then enters the passageways 7 formed in the passageway plates 2. The heat exchange fluid B that has passed through the passageways 7 is collected in the outlet header 19 and is discharged outside through the outlet pipe 11. At this moment, the heat exchange fluid A flowing through each passageway 6 exchanges heat, through the two partition plates 3 disposed above and below it, with the heat exchange fluid B flowing through the passageways 7.

As shown in Fig. 1, because all the passageways 6 and the passageways 7 are aligned with or confront each other except in the vicinity of the headers with a partition plate 3 interposed between the neighboring passageways 6, 7, the heat exchange fluids A, B exchange heat in a countercurrent fashion. In general, the countercurrent flows are superior in heat transfer efficiency to the cross- or rectangular-current flows or the parallel flows as employed in the conventional plate heat exchangers. Accordingly, the countercurrent flows between the heat exchange fluids A, B make it possible to enhance the performance and reduce the size of the plate heat exchangers.

It is to be noted here that although in the above-described construction the partition plates 3 may have the same thickness as the passageway plates 1, 2, the partition plates 3 may be thicker than one of the passageway plates 1, 2.

More specifically, in the plate heat exchangers having passageways each extending across the thickness of a plate, the thickness of the passageway plates 1 corresponds to the height of the passageways 6 and is a factor to determine the speed of the heat exchange fluid A flowing through the passageways 6. On the other hand, the thickness of the partition plates 3 that are heat transfer surfaces during heat exchange between the heat exchange fluids A, B is a factor to determine the heat resistance during the heat exchange and also to determine the pressure resistance of the heat exchangers. In designing the plate heat exchangers particularly in view of the pressure resistance thereof, the operating pressures of the heat exchange fluids A, B, the physical properties of the plate material, and the partition wall configurations (width, thickness) of such portions as to form the passageways are parameters to be taken into account.

Accordingly, the mechanical strength required for a pressure vessel can be enhanced by making the partition plates 3 thicker than at least one of the passageway plates 1, 2, resulting in reliable plate heat exchangers.

Furthermore, the passageway plates 1, 2 may be of the same shape. That is, the passageway plates 2 may be the same plates as the passageway plates 1 if the latter are each turned 180° in a horizontal plane when the passageway plates 1, 2 are piled up with a partition plate 3 interposed between the neighboring passageway plates 1, 2. If the passageway plates 2 are turned 180° in respective horizontal planes, the passageways 7 and the through-holes 15a, 15b in the passageway plates 2 completely conform in configuration with the passageways 6 and the through-holes 12b, 12a in the passageway plates 1, respectively.

Accordingly, if the passageway plates 1, 2 are identical in shape, the same plates can be commonly used for the passageway plates 1, 2. As a result, the plate structure is considerably simplified, making it possible to reduce the manufacturing cost of the plate heat exchangers.

It is preferred that the external shapes of the passageway plates 1, 2 and

the partition plates 3, and the passageways and through-holes in the passageway plates 1, 2 and the partition plates 3 are shaped by pressing, and all the plates are piled up so that the punching directions thereof during pressing may coincide.

In general, when through-holes are formed in plates by pressing, projections or burrs are formed along the contour of the through-holes. Such burrs are created on a plate surface positioned on the downstream side of the plates with respect to the punching direction during the pressing. When the plates are piled up, if the burrs on a plate are brought into contact with those on adjacent plates, the degree of contact between the plates is deteriorated, resulting in poor joining. However, if the piling is carried out so that the punching directions may coincide, the contact of the burrs is avoided and the degree of contact between the plates is enhanced, making it possible to increase the yield during the manufacture of the plate heat exchangers.

As shown in Fig. 1, each of the passageways 6, 7 has generally U-shaped turning portions 20, 21. The provision of such turning portions 20, 21 makes it possible to form not only straight passageways but passageways of any other shapes such, for example, as rectangular ones or spiral ones in the plates. This means that even if the passageways are extremely long, the length or width of the heat exchangers can be considerably reduced, resulting in compact plate heat exchangers.

Furthermore, as shown in Fig. 2, either the passageways 6 or the passageways 7, or both of them may have substantially the same width along the length thereof (Fig. 2 particularly depicts the passageways 6).

The passageways 6 have header portions 22, 23 formed on opposite sides thereof and each constituting a portion of the inlet or outlet header for the heat exchange fluid A, and also have straight portions 24 and turning portions 20, both of which are in fluid communication with the header portions 22, 23. The width T1 of the straight portions 24 and the width T2 of the turning portions 20 are set to be

substantially the same. This applies to the passageways for the heat exchange fluid B.

If the width of the passageways is not substantially the same along the length thereof and, in particular, if the turning portions of the passageways are of a rectangular shape, this means that corners exist in the passageways. When the heat exchange fluid passes the corners, it is hindered from flowing smoothly and a portion thereof is apt to stay at such corners. This phenomenon hinders heat exchange between the passageways through the partition plates and deteriorates the performance of the heat exchangers.

If the width of the passageways 6 is substantially the same along the length thereof, in particular, at the straight portions 24 and at the turning portions 20, the heat exchange fluid A flows smoothly without staying at the turning portions 20 of the passageways 6, thus further enhancing the performance of the plate heat exchangers. The same is true for the passageways 7 that confront the passageways 6.

(Embodiment 2)

Fig. 3 depicts a plate heat exchanger according to a second embodiment of the present invention.

This plate heat exchanger includes a plurality of plates sandwiched between a pair of end plates and each having a plurality of separate passageways defined therein as penetrations that are not in fluid communication with each other. The directions of flow of fluids in the plurality of passageways are essentially opposite to each other.

More specifically, as shown in Fig. 3, a plurality of passageway plates each having passageways 34, 35 defined therein as penetrations are piled up one upon the other and sandwiched between a pair of end plates 32, 33. The passageways 34, 35 adjoin and extend parallel to each other to form respective boustrophedonic fluid paths. The directions of flow of the heat exchange fluid A in

the passageways 34 and the heat exchange fluid B in the passageways 35 are countercurrent with respect to each other.

Each passageway plate 31 has an inlet header 40 and an outlet header 41 formed at opposite ends of the passageway 34, and also has an inlet header 42 and an outlet header 43 formed at opposite ends of the passageway 35.

The end plate 32 has inlet and outlet pipes 36, 37 for the heat exchange fluid A, and inlet and outlet pipes 38, 39 for the heat exchange fluid B, all of which are secured thereto. The inlet and outlet pipes 36, 37 are in fluid communication with the inlet and outlet headers 40, 41 for the heat exchange fluid A, respectively. Similarly, the inlet and outlet pipes 38, 39 are in fluid communication with the inlet and outlet headers 42, 43 for the heat exchange fluid B, respectively.

The heat exchange fluid A enters the inlet header 40 through the inlet pipe 36 secured to the end plate 32, and then enters the passageways 34 formed in the passageway plates 31. The heat exchange fluid A that has passed through the passageways 34 is collected in the outlet header 41 and is discharged outside through the outlet pipe 37. On the other hand, the heat exchange fluid B enters the inlet header 42 through the inlet pipe 38 secured to the end plate 32, and then enters the passageways 35 formed in the passageway plates 31. The heat exchange fluid B that has passed through the passageways 35 is collected in the outlet header 43 and is discharged outside through the outlet pipe 39. At this moment, the heat exchange fluid A flowing through the passageways 34 exchanges heat, through partitions 44 positioned between the passageways 34, 35, with the heat exchange fluid B flowing through the passageways 35.

As shown in Fig. 3, because all the passageways 34 and the passageways 35 adjoin and confront each other except in the vicinity of the headers with the partitions 44 interposed therebetween, the heat exchange fluids A, B exchange heat in a countercurrent fashion.

Because the plate heat exchanger of the above-described construction

does not require the partition plates as shown in Fig. 1, but requires only the passageway plates 31, and because all the passageway plates 31 have the same shape, the plate structure can be simplified, making it possible to enhance the performance of the plate heat exchangers and to reduce the size and manufacturing cost of the plate heat exchangers.

As is the case with Embodiment 1, the degree of contact between the plates can be enhanced by shaping the passageway plates 31 by pressing, and by piling up all the plates so that the punching directions thereof during pressing may coincide.

Moreover, as is the case with Embodiment 1, the provision of the generally U-shaped turning portions in the passageways 34, 35 can further reduce the size of the plate heat exchangers. Also, if either the passageways 34 or the passageways 35, or both of them have substantially the same width in the direction of length of the passageways, the performance of the plate heat exchangers is further enhanced.

(Embodiment 3)

Fig. 4 depicts a plate heat exchanger according to a third embodiment of the present invention.

This plate heat exchanger includes a plurality of passageway plates 51 each having a passageway 56 defined therein as a penetration for the passage of a heat exchange fluid A and a plurality of passageway plates 52 each having a passageway 57 defined therein as a penetration for the passage of a heat exchange fluid B. These passageway plates 51, 52 are piled up alternately and sandwiched between a pair of end plates 54, 55, with a partition plate 53 interposed between adjacent passageway plates 51, 52. The passageway 56 in each passageway plate 51 is divided into two in the widthwise direction thereof by a partition 72.

Each passageway plate 51 has through-holes 62a, 62b defined therein in addition to the passageway 56, while each passageway plate 52 similarly has

through-holes 65a, 65b defined therein in addition to the passageway 57. Each partition plate 53 has through-holes 63a, 63b, 64a, 64b defined therein. When the passageway plates 51, 52 are piled up with a partition plate 53 interposed therebetween, an inlet header or space 66 for the heat exchange fluid A is formed by a portion of each passageway 56 and the through-holes 64a, 65a. An outlet header 67 for the heat exchange fluid A, an inlet header 68 for the heat exchange fluid B, and an outlet header 69 for the heat exchange fluid B are similarly formed.

The end plate 54 has inlet and outlet pipes 58, 59 for the heat exchange fluid A, and inlet and outlet pipes 60, 61 for the heat exchange fluid B, all of which are secured thereto. The inlet and outlet pipes 58, 59 are in fluid communication with the inlet and outlet headers 66, 67 for the heat exchange fluid A, respectively. Similarly, the inlet and outlet pipes 60, 61 are in fluid communication with the inlet and outlet headers 68, 69 for the heat exchange fluid B, respectively.

The heat exchange fluid A enters the inlet header 66 through the inlet pipe 58 secured to the end plate 54, and then enters the passageways 56 formed in the passageway plates 51. The heat exchange fluid A that has passed through the passageways 56 is collected in the outlet header 67 and is discharged outside through the outlet pipe 59. On the other hand, the heat exchange fluid B enters the inlet header 68 through the inlet pipe 60 secured to the end plate 54, and then enters the passageways 57 formed in the passageway plates 52. The heat exchange fluid B that has passed through the passageways 57 is collected in the outlet header 69 and is discharged outside through the outlet pipe 61. At this moment, the heat exchange fluid A flowing through each passageway 56 exchanges heat, through the two partition plates 53 disposed above and below it, with the heat exchange fluid B flowing through the passageways 57.

As shown in Fig. 4, because the provision of the partition 72 for dividing the passageway 56 into two in the widthwise direction thereof reduces the full width and the sectional area of the passageway 56, the speed of the heat exchange fluid A

that flows through the passageway 56 is increased. In general, an increase in speed of the fluid enhances the heat transfer efficiency. Also, the provision of the partition 72 enlarges the joining area between the passageway plate 51 and the partition plate 53, thus increasing the mechanical strength required for the heat exchangers as pressure vessels.

Accordingly, the above-described construction further enhances the performance and reliability of the plate heat exchangers.

In the plate heat exchanger shown in Fig. 3 also, the same effects can be obtained if a partition is provided in at least one of each passageway 34 or each passageway 35 to divide it into two in the widthwise direction thereof.

(Embodiment 4)

Fig. 5 depicts a plate heat exchanger according to a fourth embodiment of the present invention.

This plate heat exchanger has substantially the same construction as that shown in Fig. 1 and includes a plurality of passageway plates 51 each having a passageway 56 defined therein as a penetration for the passage of a heat exchange fluid A and a plurality of passageway plates 52 each having a passageway 57 defined therein as a penetration for the passage of a heat exchange fluid B. These passageway plates 51, 52 are piled up alternately and sandwiched between a pair of end plates 54, 55, with a partition plate 53 interposed between adjacent passageway plates 51, 52. The passageways 56, 57 have generally U-shaped turning portions 70, 71, respectively. In addition, each of the passageway plates 51 has a plurality of through-holes or slots 73a defined therein between adjacent fluid paths of the passageway 56 (between the upstream and downstream sides of each turning portion 70), while each of the partition plates 53 and each of the passageway plates 52 have respective through-holes or slots 73b, 73c aligned with the through-holes 73a so as to communicate therewith. The end plates 54, 55 similarly have respective through-holes or slots 73d, 73e aligned with the through-holes 73a, 73b, 73c.

Each passageway plate 51 has through-holes 62a, 62b defined therein in addition to the passageway 56, while each passageway plate 52 similarly has through-holes 65a, 65b defined therein in addition to the passageway 57. Each partition plate 53 has through-holes 63a, 63b, 64a, 64b defined therein. When the passageway plates 51, 52 are piled up with a partition plate 53 interposed therebetween, an inlet header or space 66 for the heat exchange fluid A is formed by a portion of each passageway 56 and the through-holes 64a, 65a. An outlet header 67 for the heat exchange fluid A, an inlet header 68 for the heat exchange fluid B, and an outlet header 69 for the heat exchange fluid B are similarly formed.

The end plate 54 has inlet and outlet pipes 58, 59 for the heat exchange fluid A, and inlet and outlet pipes 60, 61 for the heat exchange fluid B, all of which are secured thereto. The inlet and outlet pipes 58, 59 are in fluid communication with the inlet and outlet headers 66, 67 for the heat exchange fluid A, respectively. Similarly, the inlet and outlet pipes 60, 61 are in fluid communication with the inlet and outlet headers 68, 69 for the heat exchange fluid B, respectively.

The heat exchange fluid A enters the inlet header 66 through the inlet pipe 58 secured to the end plate 54, and then enters the passageways 56 formed in the passageway plates 51. The heat exchange fluid A that has passed through the passageways 56 is collected in the outlet header 67 and is discharged outside through the outlet pipe 59. On the other hand, the heat exchange fluid B enters the inlet header 68 through the inlet pipe 60 secured to the end plate 54, and then enters the passageways 57 formed in the passageway plates 52. The heat exchange fluid B that has passed through the passageways 57 is collected in the outlet header 69 and is discharged outside through the outlet pipe 61. At this moment, the heat exchange fluid A flowing through each passageway 56 exchanges heat, through the two partition plates 53 disposed above and below it, with the heat exchange fluid B flowing through the passageways 57.

As shown in Fig. 5, where the passageways 56 have the generally U-

shaped turning portions 70, the heat exchange fluid A that flows through a fluid path of one of the passageways 56 not only exchanges heat with the heat exchange fluid B through the partition plates 53, but also has a good chance to exchange heat with the heat exchange fluid A that flows through neighboring fluid paths of such one of the passageways 56. In this embodiment, however, because a through-hole 73a is formed between the neighboring fluid paths of each passageway 56, heat transfer at such portion is completely blocked. The same is true for the passageways 57.

By the above-described construction, heat exchange between the neighboring fluid paths of each passageway is completely blocked, making it possible to further enhance the performance of the plate heat exchangers.

In the plate heat exchanger shown in Fig. 3 also, the same effects can be obtained if a through-hole is provided between neighboring fluid paths of the same passageway 34 or 35.

(Embodiment 5)

A method of making the plate heat exchangers according to the first to fourth embodiments of the present invention (shown in Figs. 1 to 5) is discussed hereinafter in detail. It is assumed that all the plates be made of metallic material having superior heat transfer properties such, for example, as stainless steel, copper, aluminum or the like.

Fig. 6 is a sectional view taken along the line VI-VI in the plate heat exchanger of Fig. 1 and clearly depicts the position of solder or plating material when the plates are piled up. The passageway plates 1, 2 covered entirely with deposits 26, 27 are piled up one above the other between the upper and lower end plates 4, 5 with a partition plate 3 interposed between neighboring passageway plates 1, 2.

The passageways and the through-holes are first formed in the passageway plates 1, 2 and the partition plates 3 by pressing that is superior in mass-productivity.

Subsequently, plating is performed on the surfaces of the passageway

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plates 1, 2 in which the passageways and the through-holes have already been formed. If the plates are made of stainless steel that is superior in resistance to corrosion, it is sufficient if the plating is performed using mainly nickel and phosphorus, for example. This plating is generally electroless plating. If the plates are made of copper having a high heat transfer rate, it is sufficient if the plating is performed using mainly silver, for example.

Furthermore, all the plates are piled up so that the punching directions thereof during pressing may coincide as shown by an arrow in the figure.

Finally, the deposits are fused to join the plates together by heating the plates held in close contact with one another.

At this moment, the plates that have been processed by pressing are piled up so that burrs formed during pressing may protrude in the same direction. Accordingly, deterioration in the degree of contact between neighboring plates, which has been hitherto caused by contact of the burrs, is avoided, and the plates are positively joined together by plating and subsequent brazing, making it possible to enhance the yield and provide highly reliable plate heat exchangers.

The same effects can be obtained with respect to the plate heat exchanger shown in Fig. 3, if it is made by a method including the steps of: shaping the passageway plates 31 by pressing; performing plating on the opposite surfaces of the passageway plates 31; piling up the passageway plates 31 so that the punching directions thereof during pressing may coincide; and heating the piled passageway plates 31 under the condition in which they are held in close contact with one another. (Embodiment 6)

Fig. 7 depicts another method of making the plate heat exchangers according to the first to fourth embodiments of the present invention. The passageway plates 1, 2 of which only the upper surfaces are coated with solder or brazing material are piled up one above the other between the upper and lower end plates 4, 5 with a partition plate 3, of which only the upper surface is similarly coated

with solder or brazing material, interposed between neighboring passageway plates 1, 2.

The passageways and the through-holes are first formed in the passageway plates 1, 2 and the partition plates 3 by pressing that is superior in mass-productivity.

Subsequently, the plates are coated with solder. Paste solder in which powdered solder is mixed with a binder is preferably used for the solder. The coating of the paste solder is performed by a printing method such as a silk-screen process with the use of a coating mask. In this embodiment, the upper surfaces of the passageway plates 1 and those of the partition plates 3 disposed below them are coated with solder 28a and solder 28b, respectively, using masks that have openings of substantially the same shape as that of the openings of the passageway plates 1. The coating of the solder is performed on the surfaces (upper surfaces in the figure) positioned on the upstream side of the plates in the punching directions thereof during pressing. Similarly, the upper surfaces of the passageway plates 2 and those of the partition plates 3 disposed below them are coated with solder 29a and solder 29b, respectively, using masks that have openings of substantially the same shape as that of the openings of the passageway plates 2. Where the plates are made of stainless steel, nickel is preferably used for the solder, and where the plates are made of copper, silver or phosphor copper is preferably used for the solder.

Furthermore, all the plates are piled up so that the punching directions thereof during pressing may coincide as shown by an arrow in the figure.

Finally, the solder component in the paste solder is fused to join the plates together by heating the plates held in close contact with one another.

As a result, the plates are positively joined together by brazing using the paste solder. The use of the paste solder that is cheaper than plating reduces the manufacturing cost of the heat exchangers. Also, because the solder is coated on the surfaces of the plates on which no burrs project, jigs or tools such as masks to be

used during coating are not appreciably damaged by the burrs, thus enhancing the reliability of the plate heat exchangers.

The same effects can be obtained with respect to the plate heat exchanger shown in Fig. 3, if it is made by a method including the steps of: shaping the passageway plates 31 by pressing; coating with paste solder the surfaces of the passageway plates 31 that are positioned on the upstream side of the plates in the punching directions during pressing; piling up the passageway plates 31 so that the punching directions thereof during pressing may coincide; and heating the piled passageway plates 31 under the condition in which they are held in close contact with one another.

It is to be noted that although in Embodiments 5 and 6 it is assumed that all the plates are made of metallic material, at least the passageway plates may be made of resinous material having a small specific gravity such, for example, as Teflon sheets depending on the pressure resistance and the heat resistance of the heat exchangers.

The use of such material reduces the weight of the plate heat exchangers. In this case, if the partition plates 3 are made of metallic material that is superior in heat transfer efficiency to the resinous material, heat transfer between the heat exchange fluids A and B is not deteriorated. Where the passageway plates are made of resinous material, bonding or welding is preferably used in place of the brazing in manufacturing the plate heat exchangers. The use of the resinous material can reduce the weight and size of the heat exchanges while maintaining the heat transfer efficiency, compared with the plate heat exchangers in which all the plates are made of metallic material.

It is to be noted that all the plates may be made of resinous material according to the use environment of the heat exchangers.

CLAIMS

1. A plate heat exchanger comprising:
a pair of end plates extending parallel to each other; and
a plurality of plates sandwiched between said pair of end plates and
5 having two passageways defined therein that are not in fluid communication with each other;
wherein two fluids flow through the two passageways in a
countercurrent fashion.

10 2. The plate heat exchanger according to claim 1, wherein said plurality of plates comprise a plurality of first passageway plates each having a first passageway defined therein, a plurality of second passageway plates each having a second passageway defined therein, and a plurality of partition plates, said plurality of first passageway plates and said plurality of second passageway plates being piled up
15 alternately with one of said plurality of partition plates interposed between neighboring first and second passageway plates, and wherein said first and second passageways are aligned with each other, and first and second fluids flow through said first and second passageways, respectively, in the countercurrent fashion.

20 3. The plate heat exchanger according to claim 2, wherein said partition plates are thicker than said first or second passageway plates.

4. The plate heat exchanger according to claim 1, wherein each of said plurality of plates comprises a passageway plate having first and second
25 passageways defined therein that adjoin and extend parallel to each other, and wherein first and second fluids flow through said first and second passageways, respectively, in the countercurrent fashion.

5. The plate heat exchanger according to any one of claims 2 to 4, wherein said first and second passageway plates have an identical shape.

6. The plate heat exchanger according to any one of claims 2 to 5, wherein said plurality of plates are shaped by pressing and piled up so that punching directions thereof during pressing coincide.

7. The plate heat exchanger according to any one of claims 2 to 6, wherein a partition is provided in at least one of said first and second passageways to divide said at least one passageway into two in a widthwise direction thereof.

8. The plate heat exchanger according to any one of claims 2 to 7, wherein said first and second passageways have generally U-shaped turning portions.

9. The plate heat exchanger according to claim 8, wherein at least one of said first and second passageways has substantially a same width in a direction of length thereof.

10. The plate heat exchanger according to claim 8 or 9, wherein each of said plurality of passageway plates has a through-hole defined therein between adjoining fluid paths of each of said first and second passageways, and wherein said through-holes of said plurality of passageway plates communicate with one another.

11. The plate heat exchanger according to any one of claims 2 to 10, wherein said plurality of passageway plates are made of resinous material.

12. A method of making a plate heat exchanger having a plurality of plates sandwiched between a pair of end plates, the plurality of plates having two

passageways defined therein that are not in fluid communication with each other, said method comprising the steps of:

shaping the plurality of plates by pressing;

performing plating on opposite surfaces of at least some of the plurality

5 of plates;

piling up the plurality of plates so that punching directions thereof during pressing coincide; and

heating the plurality of plates under a condition in which the plurality of plates are held in close contact with one another.

10 13. A method of making a plate heat exchanger having a plurality of plates sandwiched between a pair of end plates, the plurality of plates having two passageways defined therein that are not in fluid communication with each other, said method comprising the steps of:

15 shaping the plurality of plates by pressing;

coating with paste solder those surfaces of the plurality of plates that are positioned on an upstream side thereof in a punching direction during pressing;

piling up the plurality of plates so that the punching directions thereof during pressing coincide; and

20 heating the plurality of plates under a condition in which the plurality of plates are held in close contact with one another.

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ABSTRACT

A plate heat exchanger includes a plurality of plates sandwiched between a pair of end plates. Each of the plurality of plates has two passageways defined therein that are not in fluid communication with each other. Alternatively, some of the plurality of plates have a passageway, while some of the remaining plates have another passageway. Two fluids flow through the two passageways in a countercurrent fashion. Because the countercurrent flows are superior in heat transfer efficiency, it is possible to enhance the performance and reduce the size of the plate heat exchangers.

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Fig. 1

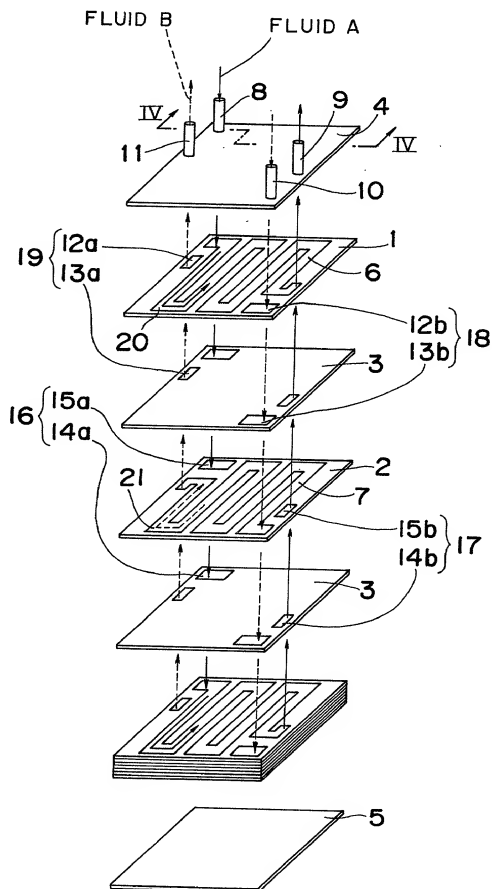


Fig. 2

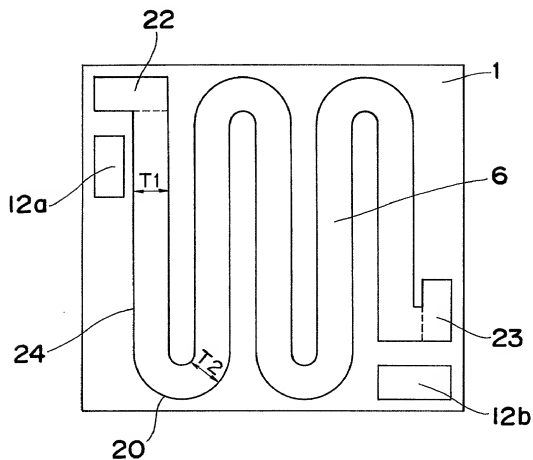


Fig. 3

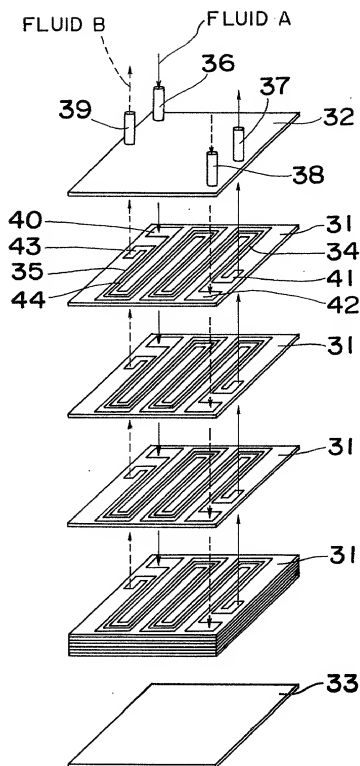


Fig. 4

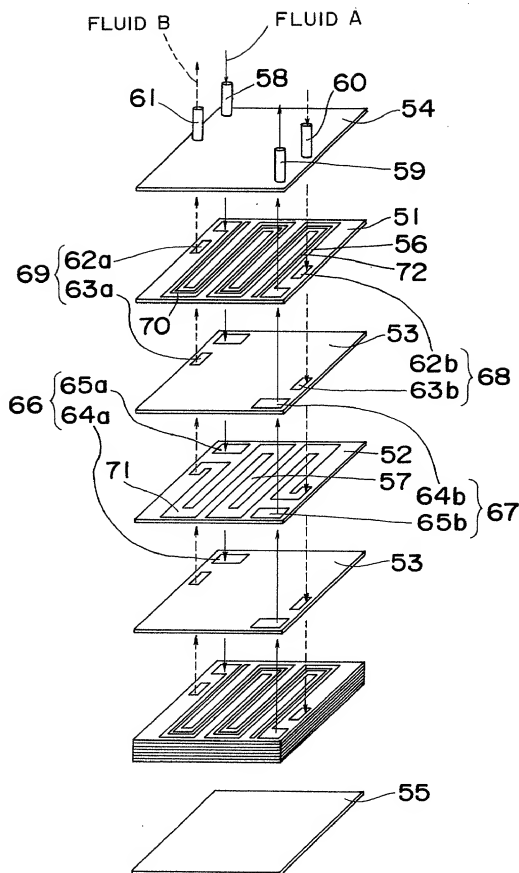


Fig. 5

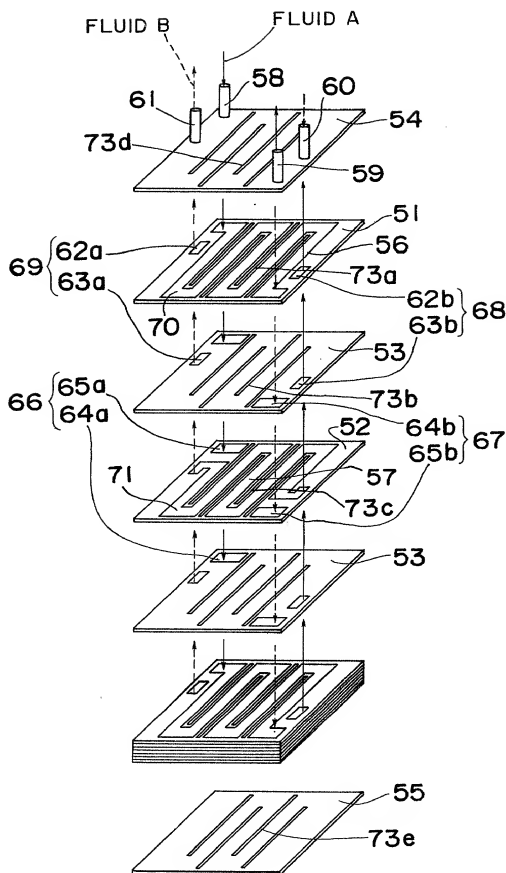


Fig. 6

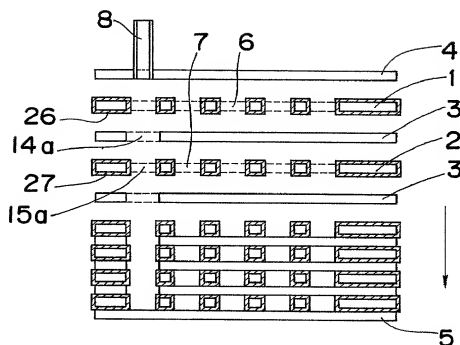


Fig. 7

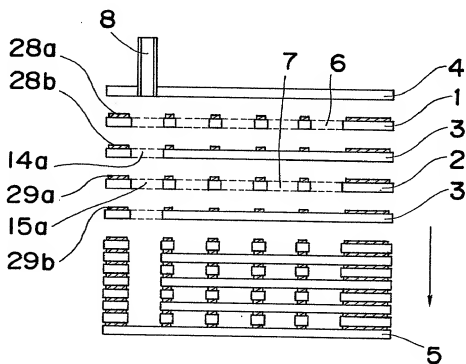
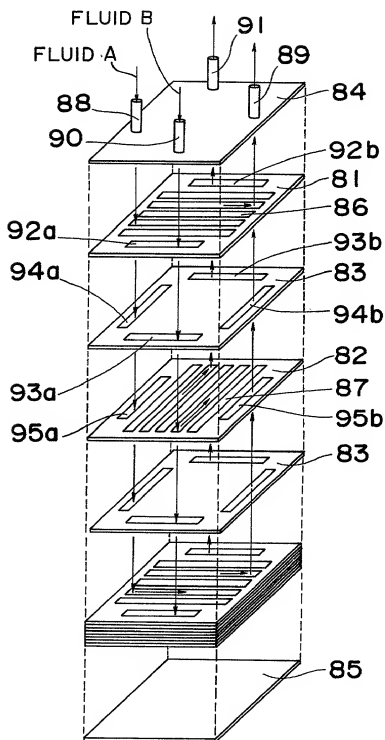


Fig. 8



DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION

(X) Original () Supplemental () Substitute () PCT () Design

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: Plate Heat Exchanger and Method of Making Same

of which is described and claimed in:

() the attached specification, or

() the specification in the application Serial No. _____ filed _____;

and with amendments through _____ (if applicable), or

(X) the specification in International Application No. PCT/JP99/06413, filed November 17, 1999 and as amended on October 27, 2000 (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 (and §172 if this application is for a Design) of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| COUNTRY | APPLICATION NO. | DATE OF FILING | PRIORITY CLAIMED |
|---------|-----------------|-------------------|------------------|
| Japan | P 10-332424 | November 24, 1998 | Yes |
| | | | |
| | | | |
| | | | |
| | | | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| APPLICATION SERIAL NO. | U.S. FILING DATE | STATUS: PATENTED, PENDING, ABANDONED |
|------------------------|------------------|--------------------------------------|
| | | |
| | | |
| | | |

And I hereby appoint John T. Miller, Reg. No. 21,120; Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Jeffrey Nolton, Reg. No. 25,408; Warren M. Cheek, Jr., Reg. No. 33,367; Nils E. Pedersen, Reg. No. 33,145 and Charles R. Watts, Reg. No. 33,142, who together constitute the firm of WENDEROTH, LIND & PONACK, L.L.P., attorneys to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys named herein to accept and follow instructions from AOYAMA & PARTNERS as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

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I further declare that all statements made herein of my own knowledge are true, and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1st Inventor Satoshi Matsumoto Date May 16, 2001
 2nd Inventor Takashi Watanabe Date May 16, 2001
 3rd Inventor Yoshitanga Nishiyama Date May 16, 2001
 4th Inventor _____ Date _____
 5th Inventor _____ Date _____
 6th Inventor _____ Date _____
 7th Inventor _____ Date _____

The above application may be more particularly identified as follows:

U.S. Application Serial No. _____ Filing Date _____
 Applicant Reference Number 533456 MaH Atty Docket No. _____
 Title of Invention Plate Heat Exchanger and Method of Making Same

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
 Satoshi MATSUMOTO et al. : **Attn: BOX PCT**
 Serial No. NEW : **Docket No. 2001_0600A**
 Filed May 23, 2001 :

PLATE HEAT EXCHANGER AND METHOD
 OF MAKING SAME

[Corresponding to PCT/JP99/06413

Filed November 17, 1999]

THE COMMISSIONER IS AUTHORIZED
 TO CHARGE AND DEPOSIT IN THE
 FEE FOR THE PATENT DEPOSIT
 ACCOUNT NO. 22-0975.

**COVER LETTER FOR APPLICATION FILED
 WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents,

Washington, DC 20231

Sir:

The above-identified application has been submitted without an executed oath or declaration.

It is respectfully requested that this application be assigned a serial number and awarded a filing date.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office.

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

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Attachment "C"

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